

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jamal RAMDANI, et al.

SERIAL NO: 09/766,046

GAU: 2815

FILED: January 19, 2001

EXAMINER: BAUMEISTER

FOR: STRUCTURE AND METHOD FOR FABRICATING GAN DEVICES UTILIZING THE FORMATION OF A COMPLIANT SUBSTRATE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, and copies were submitted in Application Serial No. 09/808,888 according to the attached copy of a Granted Petition. This application contains related subject matter.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner for Patents, it is no longer required to submit copies of cited pending applications. A modification of the Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

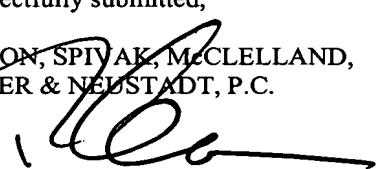
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Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 206169US99	SERIAL NO. 09/766,046		
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Jamal RAMDANI, et al.					
		FILING DATE January 19, 2001		GROUP 2815			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
ZV		5,122,679	06/16/92	ISHII ET AL			
ZW		6,232,806	05/15/01	WOESTE ET AL			
ZX		5,430,397	07/04/95	ITOH ET AL			
ZY		6,151,240	11/21/00	SUZUKI			
ZZ		6,528,374	03/04/03	BOJARCZUK, JR ET AL			
A1		6,589,887	07/08/03	DALTON ET AL			
A2		5,064,781	11/12/91	CAMBOU ET AL			
A3		2002/0052061	05/02/02	FITZGERALD			
A4		5,696,392	12/09/97	CHAR ET AL			
A5		5,986,301	11/16/99	FUKUSHIMA ET AL			
A6		6,329,277	12/11/01	LIU ET AL			
A7							
A8							
A9							
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO	
CCS		WO 99/67882	12/29/99	WIPO			
CCT		WO 95/02904	01/26/95	WIPO			
CCU		WO 02/009150	01/31/02	WIPO			
CCV		0 766 292	04/02/97	EUROPE			
CCW		198 29 609	01/05/00	GERMANY			
CCX		1 069 605	01/17/01	EUROPE			
CCY		0 828 287	03/11/98	EUROPE			
CCZ		1 176 230	01/30/02	EUROPE			
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
LLAP		YI W. et al; "Mechanism of cleaning Si (100) surface using Sr and SrO for the growth of crystalline SrTiO/sub 2/films" Journal of Vacuum Science & Technology, Vol. 20, No. 4, July 2002 (2002-07) pp. 1402-1405					
LLAQ		XIAMING HU et al; "Sr/Si template formation for the epitaxial growth of SrTiO/sub 3/on silicon" Materials Research Society Proceedings, Vol. 716, 2002, pp. 261-266					
LLAR							
LLAS					<input type="checkbox"/> Additional References sheet(s) attached		
Examiner				Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							